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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/905337 |
| | Filing Date | July 13, 2001 |
| | First Named Inventor | Shoji Kodama |
| | Art Unit | 2153 |
| | Examiner Name | G. B. Burgess |
| Total Number of Pages in This Submission | Attorney Docket Number | 16869B-026500US |

Technology Center 2100

| ENCLOSURES (Check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> USPTO form SB08A (one page), copies of 14 cited references, Return Postcard |
| Remarks | | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--------------------------------------------|-----------------------------------------------------------------------|
| Firm or Individual | Townsend and Townsend and Crew LLP Horace H. Ng Reg. No. 39,315 |
| Signature | |
| Date | 11/14/03 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | Julie Taylor Clough | | |
| Signature | Julie Taylor Clough | Date | Nov. 14, 2003 |

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
Attorney Docket No.: 16869B-026500US
Client Reference No.: HAL-ID 183

On NOV-14, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shoji Kodama

Application No.: 09/905,337

Filed: July 13, 2001

For: FILE SHARING SYSTEM WITH
DATA MIRRORING BY STORAGE
SYSTEMS

Examiner: G. B. Burgess

Art Unit: 2153

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

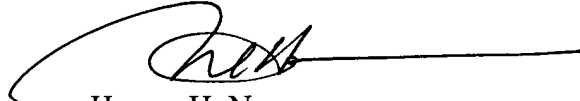
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

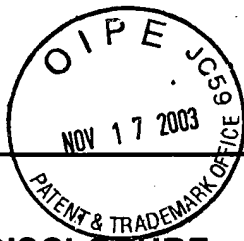
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'H. Ng', followed by a long horizontal line extending to the right.

Horace H. Ng
Reg. No. 39,315

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 415-576-0200
Fax: 415-576-0300
HHN:rmh
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PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

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|------------------------|-----------------|
| Application Number | 09/905,337 |
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| Examiner Name | G. B. Burgess |
| Attorney Docket Number | 16869B-026500US |

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U.S. PATENT DOCUMENTS+

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| | | Number Kind Code ² (if known) | | | |
| | 1 | US-6,542,962 | 04-01-2003 | Kodama et al. | |
| | 2 | US-6,408,369 | 06-18-2002 | Garret et al. | |
| | 3 | US-6,397,292 | 05-28-2002 | Venkatesh et al. | |
| | 4 | US-6,324,692 | 11-27-2001 | Fiske | |
| | 5 | US-6,314,458 | 11-06-2001 | Steele et al. | |
| | 6 | US-6,092,213 | 07-18-2000 | Lennie et al. | |
| | 7 | US-6,003,045 | 12-14-1999 | Freitas et al. | |
| | 8 | US-5,917,998 | 06-29-1999 | Cabrera et al. | |
| | 9 | US-5,890,156 | 03-30-1999 | Rekieta et al. | |
| | 10 | US-5,781,908 | 07-14-1998 | Williams et al. | |
| | 11 | US-5,680,574 | 10-21-1997 | Yamamoto et al. | |
| | 12 | US-5,155,845 | 10-13-1992 | Beal et al. | |
| | 13 | US-4,837,680 | 06-06-1989 | Crockett et al. | |
| | 14 | US-4,802,164 | 01-31-1989 | Fukuoka et al. | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
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Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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